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<i>Emily B. Cauchill</i> REGULATIONS COMPILER

1 OFFICE OF ATTORNEY GENERAL

2 Kentucky Office of Regulatory Relief

3 (New Administrative Regulation)

4 40 KAR 12:430. Buying Clubs and Vacation Clubs.

5 RELATES TO: KRS 367.395, 367.401, 367.403

6 STATUTORY AUTHORITY: KRS 15.180, 367.150(4)

7 CERTIFICATION STATEMENT: This is to certify that this administrative regulation
8 amendment complies with the requirements of 2025 RS HB 6, Section 8.

9 NECESSITY, FUNCTION, AND CONFORMITY: KRS 15.180 authorizes the Attorney General
10 to promulgate administrative regulations that will facilitate performing the duties and exercising
11 the authority vested in the Attorney General and the Department of Law. KRS 367.150(4) requires
12 the Department of Law to recommend administrative regulations in the consumers' interest. KRS
13 367.403 requires buying club sellers and vacation club sellers to file bonds with the Attorney
14 General. KRS 367.407 permits non-profit organizations to file applications with the Attorney
15 General to seek exemption from the requirements of KRS 367.397 to KRS 367.403. This
16 administrative regulation establishes an online bond submission process for buying club sellers
17 and vacation club sellers, establishes an online application process for nonprofit organizations
18 seeking exemption status, and establishes a surety bond form for use by buying club sellers and
19 vacation club sellers.

20 Section 1. Buying and Vacation Club Seller Surety Bond Submission. (1) Before selling club
21 memberships to Commonwealth of Kentucky residents, a buying club seller or a vacation club

1 seller that has a membership fee exceeding thirty-five (35) dollars shall submit a surety bond using
2 the "Buying/Vacation Club Seller Surety Bond submission portal" available at
3 <https://www.ag.ky.gov/Resources/Pages/forms.aspx>.

4 (2) When completing the online bond submission portal, a buying club seller or a vacation club
5 seller shall submit:

6 (a) The seller's certificate of existence, authorization certificate from the Kentucky
7 Secretary of State's office, or other evidence of the applicant's authority to transact business in
8 Kentucky; and,

9 (b) A completed Buying and Vacation Club Seller Surety Bond, Form BV-1, or other
10 completed surety bond complying with KRS 367.403.

11 Section 2. Buying and Vacation Club Seller Exemption Application. (1) A nonprofit organization
12 may submit an online application to be exempted from the requirements of KRS 367.397 to
13 367.403 by using the "Buying and Vacation Club Seller Application portal" available at
14 <https://www.ag.ky.gov/Resources/Pages/forms.aspx>.

15 (2) When submitting the online application, an applicant shall submit the applicant's certificate
16 of existence, authorization certificate from the Kentucky Secretary of State's office, or other
17 evidence of the applicant's authority to transact business in Kentucky.

18 (3) Applicants shall submit additional information or documents for their application within
19 thirty (30) days of any request by the Attorney General. The Attorney General may deny any
20 application if an Applicant fails to timely complete the application by not providing requested
21 missing information or required documents.

1 Section 3. Record Requests. A buying club seller, vacation club seller or exempted non-profit
2 organization shall make requested records, documents, and information readily available to the
3 Attorney General for inspection and copying upon request.

4 Section 4. Incorporation by Reference. (1) The following material is incorporated by reference:

5 (a) "Buying and Vacation Club Seller Surety Bond", Form BV-1, Dec. 2025;

6 (b) "Buying/Vacation Club Seller Surety Bond submission portal", Dec. 2025; and

7 (c) "Buying and Vacation Club Seller Exemption Application portal", Dec. 2025;

8 (2) This material may be inspected, copied, or obtained, subject to applicable copyright law,
9 at the Office of the Attorney General Capital Complex East, 1024 Capital Center Drive, Suite
10 200, Frankfort, Kentucky 40601, Monday through Friday, 8:00 a.m. to 4:30 p.m. This material
11 is also available on the Attorney General's website, <https://ag.ky.gov/Pages/default.aspx>.

READ AND APPROVED



Stephen B. Humphress, Executive Director
Kentucky Office of Regulatory Relief
Office of Attorney General

December 11, 2025

Date



Russell Coleman, Attorney General
Department of Law

December 11, 2025

Date

PUBLIC HEARING AND PUBLIC COMMENT PERIOD: A public hearing on this proposed administrative regulation shall be held on February 24, 2026, at 10:00 a.m. Eastern Time at the Office of Administrative Hearings, Conference Room B, 105 Sea Hero Road, Suite 2, Conference Room B, Frankfort, KY 40601. Individuals interested in being heard at this hearing shall notify this Office in writing at least five (5) working days prior to the hearing of their intent to attend. If no notification of intent to attend the hearing is received by that date, the hearing may be canceled. This hearing is open to the public. Any person who wishes to be heard will be given an opportunity to comment on the proposed administrative regulation. A transcript of the public hearing will not be made unless a written request for a transcript is made. If you do not wish to be heard at the public hearing, you may submit written comments on the proposed administrative regulation. Written comments shall be accepted through 11:59 p.m. on February 28, 2026. Send written notification of intent to be heard at the public hearing or written comments on the proposed administrative regulation to the contact person.

CONTACT PERSON: Stephen B. Humphress, Executive Director, Kentucky Office of Regulatory Relief, Kentucky Office of Attorney General, 1024 Capital Center Drive, Suite 200, Frankfort, Kentucky 40601, phone: 502-696-5408, fax: (502) 573-8317, email: steve.humphress@ky.gov.

REGULATORY IMPACT ANALYSIS AND TIERING STATEMENT

Administrative Regulation #: 40 KAR 12:430

Contact Person: Stephen B. Humphress

Phone Number: 502-696-5408

Email: steve.humphress@ky.gov

Subject Headings: Attorney General; Occupations and Professions; and Bonds

(1) Provide a brief summary of:

(a) What this administrative regulation does: This administrative regulation establishes an online surety bond submission process for buying club sellers and vacation club sellers, establishes an online application process for nonprofit organizations seeking exemption status, and establishes a surety bond form complying with KRS 367.403 for use by buying club sellers and vacation club sellers.

(b) The necessity of this administrative regulation: This regulation is necessary because it allows the Office of Attorney General ("Attorney General") to perform its statutory mandates. This regulation is needed so that buying club sellers, vacation club sellers, and nonprofit organizations can comply with statutory requirements. This regulation is needed for compliance with KRS 13A.100(2).

(c) How this administrative regulation conforms to the content of the authorizing statutes: KRS 15.180 directs the Attorney General to promulgate administrative regulations that will facilitate the performance of duties vested in the Attorney General and the Department of Law. KRS 367.150(4) requires the Department of Law to study the operation of all laws, rules, administrative regulations, orders, and state policies affecting consumers and to recommend administrative regulations in the consumers' interest. KRS 367.403 requires buying club sellers and vacation club sellers to submit bonds to the Attorney General. KRS 367.407 requires a non-profit organization to file an application with the Attorney General to seek an exemption from application of KRS 367.395 to 367.407.

(d) How this administrative regulation currently assists or will assist in the effective administration of the statutes: This administrative regulation establishes an online surety bond submission process for buying club sellers and vacation club sellers to submit required surety bonds to the Attorney General. This administrative regulation establishes a surety bond complying with KRS 367.403 that buying club sellers and vacation club sellers may use to file required surety bonds. This administrative regulation establishes an online exemption application for non-profit organizations to seek exemption from application of KRS 367.395 to 367.407.

(2) If this is an amendment to an existing administrative regulation, provide a brief summary of:

- (a) How the amendment will change this existing administrative regulation: Not Applicable
- (b) The necessity of the amendment to this administrative regulation: Not Applicable
- (c) How the amendment conforms to the content of the authorizing statutes: Not Applicable
- (d) How the amendment will assist in the effective administration of the statutes: Not Applicable

(3) Does this administrative regulation or amendment implement legislation from the previous five years? No

(4) List the type and number of individuals, businesses, organizations, or state and local governments affected by this administrative regulation: The regulation may affect six (6) buying club sellers and two (4) vacation club sellers that have filed bonds. The regulation will affect an unknown number of buying club sellers and vacation club sellers who may submit bonds in the future. The regulation will affect an unknown number of nonprofit organizations who may submit exemption applications. The regulation will affect the Attorney General.

(5) Provide an analysis of how the entities identified in question (4) will be impacted by either the implementation of this administrative regulation, if new, or by the change, if it is an amendment, including:

(a) List the actions that each of the regulated entities identified in question (4) will have to take to comply with this administrative regulation or amendment: New buying club sellers and vacation club sellers will be required to use the online surety bond submission processes. Non-profit organizations that seek exemption from application of KRS 367.395 to KRS 367.407 will be required to use the online application process. The Attorney General will review the completed online surety bond submissions and exemption application submissions for compliance with law.

(b) In complying with this administrative regulation or amendment, how much will it cost each of the entities identified in question (4): New buying club sellers and vacation club sellers will bear no additional costs as they will be able submit surety bonds online at no cost. Non-profit organizations that seek exemption from application of KRS 367.395 to 367.407 will bear no additional costs as they will be able to apply online at no cost. The Attorney General will incur no additional costs to process the online surety bond submissions and exemption application submissions.

(c) As a result of compliance, what benefits will accrue to the entities identified in question (4): The regulation will provide the method by which buying club sellers, vacation club sellers, and nonprofit organizations can comply with statutory requirements. It is intended that the online processes will make it easier for buying club sellers, vacation club sellers, and nonprofit organizations to comply with statutory requirements. In addition, it is intended that the regulation amendments will result in saved administrative resources and time and provide quicker processing time by Attorney General staff through online processes.

(6) Provide an estimate of how much it will cost the administrative body to implement this administrative regulation:

(a) Initially: There are no costs to implement this administrative regulation.

(b) On a continuing basis: There are no continuing costs to implement this administrative regulation.

(7) What is the source of the funding to be used for the implementation and enforcement of this administrative regulation: There are no additional costs associated with implementing this administrative regulation.

(8) Provide an assessment of whether an increase in fees or funding will be necessary to implement this administrative regulation, if new, or by the change, if it is an amendment: There is no anticipated increase in fees or funding necessary to implement this administrative regulation.

(9) State whether or not this administrative regulation establishes any fees or directly or indirectly increased any fees: This administrative regulation does not directly or indirectly increase any fees.

(10) TIERING: Is tiering applied? *{Explain why or why not}* No. This administrative regulation applies equally to all buying club sellers and vacation club sellers.

FISCAL NOTE ON STATE OR LOCAL GOVERNMENT

Administrative Regulation #: 40 KAR 12:430

Contact Person: Stephen B. Humphress

Phone Number: 502-696-5408

Email: steve.humphress@ky.gov

(1) Identify each state statute, federal statute, or federal regulation that requires or authorizes the action taken by the administrative regulation. KRS 15.180, 367.150(4), 367.403, and 367.407

(2) State whether this administrative regulation is expressly authorized by an act of the General Assembly, and is so, identify the act: 1960 Ky. Acts ch. 68, Art. II, sec. 1, effective March 17, 1960; 1972 Ky. Acts ch. 4, sec. 4.

(3)(a) Identify the promulgating agency and any other affected state units, parts, or divisions: The Office of Attorney General, Kentucky Office of Regulatory Relief ("Attorney General") is the promulgating agency. The regulation does not affect any other state agencies.

(b) Estimate the following for each affected state unit, part, or division in (3)(a):

1. Expenditures:

For the first year: There are no expenditures to administer this administrative regulation for the first year.

For subsequent years: There will be no expenditures to administer the administrative regulation in subsequent years.

2. Revenues:

For the first year: The administrative regulation will generate no revenues to the Attorney General in the first year.

For subsequent years: The administrative regulation will generate no revenues to the Attorney General in subsequent years.

3. Cost Savings:

For the first year: In the first year, the Attorney General will have cost savings from efficient and quicker processing of surety bond submissions and exemption applications which are difficult to estimate at this time but estimated to be de minimis.

For subsequent years: In subsequent years, the Attorney General will have cost savings from efficient and quicker processing of surety bond submissions and exemption applications which are difficult to estimate at this time but estimated to be de minimis.

(4)(a) Identify affected local entities (for example: cities, counties, fire departments, school districts): The administrative regulation will not affect any local entities.

(b) Estimate the following for each affected local entity identified in (4)(a):

1. Expenditures:

For the first year: This administrative regulation will not cause expenditures by local entities for the first year.

For subsequent years: This administrative regulation will not cause expenditures by local entities in subsequent years.

2. Revenues:

For the first year: Local entities will receive no revenues from this administrative regulation for the first year.

For subsequent years: Local entities will receive no revenues from this administrative regulation in subsequent years.

3. Cost Savings:

For the first year: Local entities will receive no cost savings from this administrative regulation for the first year.

For subsequent years: Local entities will receive no cost savings from this administrative regulation for subsequent years.

(5)(a) Identify any affected regulated entities not listed in (3)(a) or (4)(a): Buying and vacation club sellers will be affected by this administrative regulation. Nonprofit organizations seeking exemption status will be affected by this administrative regulation.

(b) Estimate the following for each regulated entity identified in (5)(a):

1. Expenditures:

For the first year: This administrative regulation will not cause buying club sellers, vacation club sellers and non-profit organizations to have any additional expenditures for the first year.

For subsequent years: This administrative regulation will not cause buying club sellers, vacation club sellers and non-profit organizations to have any additional expenditures for subsequent years.

2. Revenues:

For the first year: Buying and vacation club sellers and non-profit organizations will not receive any revenues directly from this administrative regulation for the first year.

For subsequent years: Buying and vacation club sellers and non-profit organizations will not receive any revenues directly from this administrative regulation for subsequent years.

3. Cost Savings:

For the first year: For the first year, buying and vacation club sellers will receive cost savings from simple online surety bond submissions. Non-profit organizations will receive cost savings from quicker processing of online exemption application submissions. These cost savings are difficult to estimate at this time but estimated to be de minimis.

For subsequent years: For subsequent years, buying and vacation club sellers will receive cost savings from simple online surety bond submissions. Non-profit organizations will receive cost savings from simple online exemption application submissions and quicker processing. These cost savings are difficult to estimate at this time but estimated to be de minimis.

(6) Provide a narrative to explain the following for each entity identified in (3)(a), (4)(a), and (5)(a):

(a) Fiscal impact of this administrative regulation: This administrative regulation will have no fiscal impact. The new regulation merely creates an online bond submission process for buying club sellers and vacation club sellers, creates an online exemption application process for nonprofit organizations, and establishes a surety bond form for use by buying and vacation club sellers. The regulation does not affect any other governmental agencies or local governments. The regulation does not establish any fees. For these reasons, the regulation is not expected to have any significant fiscal impact.

(b) Methodology and resources used to reach this conclusion: The Attorney General used a quantitative methodology analysis based on history of administrative agencies which license or register businesses in a specific subject area and the resulting facts from this regulation. The Attorney General used staff resources in determining the fiscal impact.

(7) Explain, as it relates to the entities identified in (3)(a), (4)(a), and (5)(a):

(a) Whether this administrative regulation will have a “major economic impact”, as defined by KRS 13A.010(13): There is not an expected “major economic impact” from this regulation for the Attorney General, any local entities, or affected regulated entities.

(b) The methodology and resources used to reach this conclusion: The Attorney General used a quantitative methodology analysis based on history of administrative agencies which license or register businesses in a specific subject area and resulting facts from this regulation. The Attorney General used staff resources in reaching the conclusion that no overall negative or adverse major economic impact results from this administrative regulation.

SUMMARY OF MATERIAL INCORPORATED BY REFERENCE

Administrative Regulation #: 40 KAR 12:430

Contact Person: Stephen B. Humphress

Phone Number: 502-696-5481

Email: steve.humphress@ky.gov

1. “Buying and Vacation Club Seller Surety Bond”, Form BV-1, Dec. 2025. This bond form may be used by buying club sellers and vacation club sellers when submitting required surety bonds to the Attorney General. The form consists of two (2) pages. Attached as Appendix I.
2. “Buying/Vacation Club Seller Surety Bond submission portal”, Dec. 2025. Buying club sellers and vacation club sellers will use this portal to submit required surety bonds to the Attorney General. The online submission process consists of four (4) online pages. Attached as Appendix II are printed copies of the online bond submission pages.
3. “Buying and Vacation Club Seller Exemption Application portal”, Dec. 2025. A nonprofit organization will use this online application portal to seek exemption status. The online application consists of three (3) online pages. Attached as Appendix III are printed copies of the online application pages.

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Cassie Chambers Armstrong
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LEGISLATIVE RESEARCH COMMISSION

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MEMORANDUM

TO: Stephen B. Humphress, Executive Director, Office of Regulatory Relief, Office of Attorney General

FROM: Emily Caudill, Regulations Compiler

RE: Proposed Amendments to Regulations – 040 KAR 012:410 and 040 KAR 012:430.

DATE: December 12, 2025

A copy of each administrative regulation listed above is enclosed for your files. These regulations are tentatively scheduled for review by the Administrative Regulation Review Subcommittee at its **MARCH 2026** meeting. We will notify you of the date and time of this meeting once it has been scheduled.

Pursuant to KRS 13A.280, *if* comments are received during the public comment period, a Statement of Consideration or a one-month extension request for these regulations is due **by noon on March 13, 2026**. Please reference KRS 13A.270 and 13A.280 for other requirements relating to the public hearing and public comment period and Statements of Consideration.

If you have questions, please contact us at RegsCompiler@LRC.ky.gov or (502) 564-8100.

Enclosures

BV-1
(Dec. 2025)



Bond Number: _____

**COMMONWEALTH OF KENTUCKY
OFFICE OF ATTORNEY GENERAL**

Kentucky Office of Regulatory Relief
1024 Capital Center Drive, Suite 200
Frankfort, KY 40601
<https://ag.kv.gov>

**BUYING OR VACATION CLUB SELLER
SURETY BOND (BV-1)**

SECTION A – BUYING OR VACATION CLUB SELLER (PRINCIPAL) INFORMATION

Legal name of buying/vacation club seller (Principal): _____

Doing business as (DBA) name: _____

Business mailing street address: _____

City: _____ State: _____ Zip code: _____

Contact person name: _____

Contact person phone: _____ Contact person email: _____

Please provide the following information regarding the principal seller (check one): ☐ Buying Club ☐ Vacation Club

SECTION B – SURETY BOND

KNOW ALL PERSONS BY THIS DOCUMENT, that the above-identified buying club/vacation club seller, _____, AS PRINCIPAL, and _____, a legal entity organized and existing under the laws of the State of _____, and authorized to transact surety insurance business in the Commonwealth of Kentucky under KRS Chapter 304, AS SURETY, are held and firmly bound unto the Commonwealth of Kentucky Attorney General for the benefit of any person(s) suffering injury and loss by reason of any violation of KRS 367.395 to KRS 367.407 or related administrative regulation, AS OBLIGEE, in the full penal sum of Fifty Thousand Dollars (\$50,000.00), lawful money of the United States of America, for the payment of which we hereby bind ourselves, jointly and severally, our heirs, executors, administrators, successors, and assigns firmly by these presents.

WHEREAS, the above-named Principal intends to sell buying clubs or vacation clubs in the Commonwealth of Kentucky or to residents of the state, and is required to furnish and file a surety bond in accordance with the provisions of KRS 367.403.

NOW, THEREFORE, the condition of this obligation is such, that if the Principal shall faithfully and honestly fulfill all its obligations to the Obligee in accordance with the provisions of KRS 367.395 to KRS 367.407 or related administrative regulation, and no person(s) suffer loss from the Principal's buying club or vacation club sales activities in the Commonwealth, then this obligation shall be released; otherwise, to remain in full force and effect.

This Bond becomes EFFECTIVE this the _____ day of _____, 20____.

The Surety may cancel this bond at any time by filing thirty (30) days' written notice of its intent to cancel or terminate this bond with the Attorney General. The Surety shall not be discharged from any liability already accrued under this bond, or which shall accrue before expiration of the thirty (30) day period.

This BOND shall not become void upon the first recovery thereon but may be sued upon from time to time until the full amount thereof shall have been exhausted.

(continued on next page)

SECTION B – SURETY BOND *(continued)*

By submitting this completed Surety Bond form, the undersigned hereby swears and affirms, under penalty of perjury, that the undersigned has authority to execute and sign this Surety Bond on behalf of the Principal Buying/Vacation Club Seller identified in Section A above; and, that the Principal agrees to be bound by the terms of this Bond.

(Signature of Principal Buying/Vacation Club Seller Representative)

(Date Signed)

(Printed Name)

(Title)

The undersigned hereby swears and affirms, under penalty of perjury, that the undersigned has authority to execute and sign this Surety Bond on behalf of the Surety, and that the Surety will be bound by the terms of this Bond.

(Signature of Surety Representative)

(Date Signed)

(Printed Name)

(Title)

INSTRUCTIONS

1. The Seller may complete and submit this surety bond form at:
<https://kyoag.highq.com/kyoag/renderSmartForm.action?formId=7ee9074e-5bd3-4c03-8eef-a2b5bee93168&showChildPage=true>

Addendum II
Buying/Vacation Club Seller Surety Bond submission portal pages

Kentucky Office of Regulatory Relief ("KORR")
Compliance and Registration Branch Portal

Please complete all the information below for all pages and upload any requested documents to submit an online application.

KORR recommends turning on the autofill feature in your web browser to make filling out online forms easier.

Please omit commas in all number fields.

Application Information ▾

Registration Type (required)

- ☐ Auto Dialer
- ☐ Telemarketer
- ☐ Debt Adjuster
- ☐ Business Opportunity
- ☐ Franchise Exemption
- ☐ Recreation & Retirement Use Land Seller
- ☒ Buying & Vacation Club Seller
- ☐ Health Spa
- ☐ Prior Approval to obtain Excess Going Out of Business Sale License
- ☐ Transient Merchant
- ☐ Contact Lenses
- ☐ Visual Aid Glasses

Legal Name of Applicant (required)

Doing Business as (DBA) name(s)

If multiple DBAs, please list all with semi-colons between each DBA.

Business Mailing Street Address (required)

Business City (required)

Business State (required)

Business Zip Code (required)

Contact Person Name (required)

Contact Person Phone (required)

Contact Person Email (required)

Please provide the following information regarding the Applicant (required)

Select from below ▼

State of incorporation, organization, formation, or existence (required)

Select from below ▼

Please attach a copy of the Applicant's certificate of existence, or authorization certificate, from the Kentucky Secretary of State's Office, or provide other evidence of the Applicant's authority to transact business in the Kentucky. (required)

Instructions for obtaining certificates can be found at: <https://www.sos.ky.gov/bus/businessrecords/Pages/default.aspx>

Browse

 or drag file(s) here

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Please omit commas in all number fields.

Type of Registration ▼

Type of Registration (required)

Buying club seller ▼

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Required Insurance and Bond Information ▾

Provide a completed "Buying and Vacation Club Seller Surety Bond, Form BV-1," or other surety bond complying with KRS 367.403. (required)

[CLICK HERE TO DOWNLOAD BUYING OR VACATION CLUB SELLER SURETY BOND, FORM BV-1](#)

Browse or drag file(s) here

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[Next](#)

Signature of Seller/Applicant ▾

Typed Name as Electronic Signature - Buying & Vacation Club Seller (required)

By submitting this application, I hereby swear or affirm, under penalty of perjury the following:

- (1) I am authorized complete this online form on behalf of the applicant.
- (2) The information and any attachments provided in and with this application are true and correct.
- (3) The applicant agrees to notify the Attorney General of any material change in any information or attachments provided in or with this application within seven (7) days of any change.
- (4) The applicant agrees to provide records and documents to the Attorney General within a reasonable time after requested.
- (5) The applicant understands that its application may be denied by the Attorney General and an exemption revoked by the Attorney General.

I understand and agree that my typed name below has the same legal force and effect as my original manual signature and I have typed my name below with intent to sign this report by electronic means in compliance with the Kentucky Uniform Electronic Transaction Act codified at KRS 369.101 to 369.120.

Typed Name as Electronic Signature

Title of Seller/Applicant Representative (required)

Signature Date (required)

12/11/2025



Addendum III
Exempt Buying/Vacation Club Seller Application portal pages

Kentucky Office of Regulatory Relief ("KORR")
Compliance and Registration Branch Portal

Please complete all the information below for all pages and upload any requested documents to submit an online application.

KORR recommends turning on the autofill feature in your web browser to make filling out online forms easier.

Please omit commas in all number fields.

Application Information ▾

Registration Type (required)

- ☐ Auto Dialer
- ☐ Telemarketer
- ☐ Debt Adjuster
- ☐ Business Opportunity
- ☐ Franchise Exemption
- ☐ Recreation & Retirement Use Land Seller
- ☒ Buying & Vacation Club Seller
- ☐ Health Spa
- ☐ Prior Approval to obtain Excess Going Out of Business Sale License
- ☐ Transient Merchant
- ☐ Contact Lenses
- ☐ Visual Aid Glasses

Legal Name of Applicant (required)

Doing Business as (DBA) name(s)

If multiple DBAs, please list all with semi-colons between each DBA.

Business Mailing Street Address (required)

Business City (required)

Business State (required)

Select from below ▾

Business Zip Code (required)

Contact Person Name (required)

Contact Person Phone (required)

Contact Person Email (required)

Please provide the following information regarding the Applicant (required)

Select from below ▼

State of incorporation, organization, formation, or existence (required)

Select from below ▼

Please attach a copy of the Applicant's certificate of existence, or authorization certificate, from the Kentucky Secretary of State's Office, or provide other evidence of the Applicant's authority to transact business in the Kentucky. (required)

Instructions for obtaining certificates can be found at: <https://www.sos.ky.gov/bus/businessrecords/Pages/default.aspx>

Browse

 or drag file(s) here

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Type of Registration ▼

Type of Registration (required)

Exemption applicant ▼

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Additional Information ▾

Is the applicant organized/chartered on a cooperative, not-for-profit basis, primarily for the benefit of its members who are the ultimate consumers of the goods, services, or facilities provided by the applicant? (required)

- ☐ Yes
☐ No

Does the applicant make goods, services, or facilities available to its members on a not-for-profit basis? (required)

- ☐ Yes
☐ No

Does the applicant allocate or distribute its net savings to all its members, or retains net savings for the expansion of its services or the reduction of charges to its members? (required)

- ☐ Yes
☐ No

Does the applicant restrict its voting control to members on a one vote per person basis? (required)

- ☐ Yes
☐ No

Signature of Seller/Applicant ▾

Typed Name as Electronic Signature - Buying & Vacation Club Seller (required)

By submitting this application, I hereby swear or affirm, under penalty of perjury the following:

- (1) I am authorized complete this online form on behalf of the applicant.
- (2) The information and any attachments provided in and with this application are true and correct.
- (3) The applicant agrees to notify the Attorney General of any material change in any information or attachments provided in or with this application within seven (7) days of any change.
- (4) The applicant agrees to provide records and documents to the Attorney General within a reasonable time after requested.
- (5) The applicant understands that its application may be denied by the Attorney General and an exemption revoked by the Attorney General.

I understand and agree that my typed name below has the same legal force and effect as my original manual signature and I have typed my name below with intent to sign this report by electronic means in compliance with the Kentucky Uniform Electronic Transaction Act codified at KRS 369.101 to 369.120.

Typed Name as Electronic Signature

Title of Seller/Applicant Representative (required)

Signature Date (required)

12/11/2025



